# A Thermodynamic Analysis of the Activated Sludge Process: Application to Soybean Wastewater Treatment in a Sequencing Batch Reactor

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A bioenergetic methodology was integrated with a modified activated sludge model No.1 (ASM1) to analyze the activated sludge process, with the treatment of soybean-processing wastewater as an example. With the bioenergetic methodology established by McCarty and coworkers, the microbial yield was predicted and the overall stoichiometrics for biological reactions involving the key chemical and biological species in activated sludge were established. These obtained parameters were related to the ASM1 model, which was modified after coupling the biological reactions in activated sludge with electron balances. This approach was able to approximately describe the treatment of soybean wastewater by activated sludge in a sequencing batch reactor in terms of substrate utilization, biomass growth, and the elector acceptor consumption. Such an attempt provides useful information for accurate modeling of the complex activated sludge process. © 2009 American Institute of Chemical Engineers AIChE J, 55: 2737–2745, 2009 Keywords: activated sludge model No.1, bioenergetics, degradation, free energy, model, thermodynamic, yield

#### Introduction

The activate sludge model No.1 (ASM1), established by the International Water Association, was a basis for modeling of activated-sludge-based wastewater treatment systems. In this model, the wide variety of organic carbon and nitrogenous compounds are subdivided into a limited number of fractions based on biodegradability and solubility considerations. The ASM is being widely used to design and assess control strategies for various activated sludge systems. <sup>2-6</sup>

Accurate microbiological modeling requires evaluation of the effects of biological reactions on all important chemical and biological species in the activated sludge system.<sup>7</sup> The ASM requires a large number of empirical parameters, which are difficult to determine. Moreover, many important chemical and biological species are lumped into one assumed model component or one empirical process. <sup>1,8</sup> To sort out these problems, a thermodynamic analysis of the biological synthesis in activated sludge could be performed and the stoichiometrics could be more accurately estimated with the cell yield derived from thermodynamic considerations of the flows of energy and electrons in the catabolic and anabolic pathways. The microbial yield is fundamental to predicting the overall stoichiometrics of the growth/utilization reactions in microbial systems. <sup>7</sup> Since 1960's, McCarty had established a bioenergetic methodology to determine the energy and electron balances in microbial degradation systems such as activated sludge. <sup>9–12</sup> This methodology has been found to be useful for designing and understanding the overall process in biological wastewater treatment systems. <sup>7–8</sup>

In the present work, the bioenergetic methodology established by McCarty was integrated with a modified ASM1 to formulate a new approach to analyze the activated sludge process. The microbial yield was estimated and the overall

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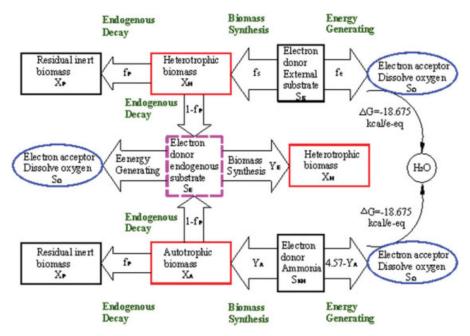


Figure 1. Framework of the new approach to analyze activated sludge process.

fs and fe are the fraction of electrons released from the donor substrate that go to cell synthesis and energy generation, respectively. [Color figure can be viewed in the online issue, which is available at www.interscience.wiley.com.]

stoichiometrics for the key chemical and biological species in the system were established by using the bioenergetic method. Then, they were incorporated into the ASM1 which was modified after coupling the biological reactions with electron balances. The performance of a sequencing batch reactor (SBR) for the treatment of soybean wastewater was simulated with this established approach. The dynamic profiles of chemical species in the reactor were also measured and simulated.

# **Model Development**

#### Bioenergetic methodology

In the bioenergetic methodology established by McCarty, 9-12 for mineralization reactions, all the electrons present in the electron-donor substrate were sent either to the acceptor (f<sub>e</sub>) or to biomass synthesis  $(f_s)$  as shown in Figure 1. The values of  $f_e$ and  $f_s$  were coupled through the electron balance, i.e., the sum of  $f_e$  and  $f_s$  must be equal to 1:

$$f_{\rm e} + f_{\rm s} = 1 \tag{1}$$

$$A = \frac{f_{\rm e}}{f_{\rm s}} = -\frac{\frac{\Delta G_{\rm p}^{0'}}{K^{\rm min}} + \Delta G_{\rm cells}^{0'} + \frac{\Delta G_{\rm n}^{0'}}{K}}{K\Delta G_{\rm p}^{0'}} \tag{2}$$

$$f_s = \frac{1}{1+A} \text{ and } f_e = \frac{A}{1+A}$$
 (3)

in which  $\Delta G_{\rm R}^{0'}$  is the standard free energy of the energygenerating reaction; K is the efficiency of energy capture in the energy-generation reaction;  $f_e$  is the fraction of electron-donor electron equivalents sent to the acceptor to drive the energygenerating reaction; and  $f_s$  is the fraction of electron-donor electron equivalents invested in biomass via the synthesis reaction. The ratio of  $f_e/f_s$  could be defined as A.  $\Delta G_p^{0'}$  is the energy consumed to transform the carbon source to pyruvate,  $\Delta G_{\rm n}^{0}$  is the energy consumed to transform the nitrogen source to  $NH_4^+$ , and  $\Delta G_{\text{cells}}^{0'}$  is the energy consumed to transform common cell intermediates (assumed to be at the energy level of pyruvate and ammonia) to cell biomass.

For the heterotrophic growth under optimum conditions, K varies from 0.4 to 0.8 with an average of 0.60.7 The amount of energy required to transform ammonia and pyruvate (intermediate) into one equivalent of biomass ( $\Delta G_{\text{cells}}^{0'}$ ) is 7.5 kcal/e-eq.  $^{9,12}$  The exponent m is either +1 or -1, depending on whether energy is released (-1) or required (+1) for a reaction. The half-reactions for conversion of various forms of inorganic nitrogen into ammonia are used to determine  $\Delta G_{\rm n}^{0'}$ . As sufficient ammonia nitrogen is present,  $\Delta G_{\rm n}^{0'}=0$ . In the overall reaction stoichiometry half-reactions are com-

bined. 11 Utilizing C<sub>5</sub>H<sub>7</sub>O<sub>2</sub>N for cells and assuming that the cells are formed from carbonate and ammonium,<sup>3</sup> the case of soybean wastewater treatment was shown in this work, with CH<sub>1.5</sub>O<sub>0.31</sub>N<sub>0.25</sub> for proteins and CH<sub>2</sub>O for carbohydrates.<sup>3</sup>

$$\frac{8}{33}\text{CO}_2 + \frac{2}{33}\text{NH}_4^+ + \frac{31}{33}\text{H}^+ + \text{e}^- \rightarrow \frac{8}{33}\text{C}H_{1.5}\text{O}_{0.31}\text{N}_{0.25} + \frac{27}{66}\text{H}_2\text{O}$$
 (4)  
$$\frac{1}{4}\text{CO}_2 + \text{H}^+ + \text{e}^- \rightarrow \frac{1}{4}\text{CH}_2\text{O} + \frac{1}{4}\text{H}_2\text{O}$$
 (5)

Reactions 4 and 5 indicate that full mineralization of pro-

Table 1. The Modified ASM1

Component Process	$S_{\mathrm{O}}$ $O_{2}$	S <sub>NH</sub> N	S <sub>NO</sub> N	$S_{\rm E}$	S <sub>S</sub> COD	$X_{\mathrm{P}}$ COD	$X_{\rm A}$ COD	$X_{\rm H}$ COD	Kinetics rate expression
Heterotrophic Growth on exogenous substrate	$-\frac{1-Y_{\rm H}}{Y_{\rm H}}$	$-i_{\mathrm{XB}}$			$-\frac{1}{Y_{\mathrm{H}}}$			1	$\mu_{\rm H} \frac{S_{\rm S}}{K_{\rm S} + S_s} \frac{S_{\rm O}}{K_{\rm O,H} + S_{\rm O}} X_{\rm H}$
Growth on endogenous substrate	$-\frac{1-Y_{\rm E}}{Y_{\rm E}}$	$-i_{\mathrm{XB}}$		$-\frac{1}{Y_{\rm E}}$				1	$\mu_{\rm H} \frac{S_{\rm E}}{K_{\rm S} + S_{\scriptscriptstyle E}} \frac{S_{\rm O}}{K_{\rm O,H} + S_{\rm O}} X_{\rm H}$
Endogenous decay				$1-f_{\rm P}$		$f_{ m P}$		-1	$b_{ m H} X_{ m H}$
Autotrophic Growth	$-\frac{4.57-Y_{\rm A}}{Y_{\rm A}}$	$-i_{\mathrm{XB}} - \frac{1}{Y_{\mathrm{A}}}$	$\frac{1}{Y_{\rm A}}$				1	-1	$\mu_{\rm A} \frac{S_{\rm NH}}{K_{\scriptscriptstyle NH} + S_{\rm NH}} \frac{S_{\rm O}}{K_{\rm O,A} + S_{\rm O}} \ X_{\rm A}$
Endogenous decay				$1-f_{\rm P}$		$f_{ m P}$	-1		$b_{ m A} X_{ m A}$

former and 4 e-eq<sup>-1</sup> mol of the latter, respectively. The oxidation of proteins and carbohydrates also yields free energy. Oxidation of proteins and carbohydrates as electron donors respectively produces 7.7 kcal ( $\Delta G^{0'} = -7.7$  kcal e<sup>-1</sup>-eq) and 10 kcal ( $\Delta G^{0'} = -10$  kcal e<sup>-1</sup>-eq) of energy per electron equivalent at pH 7.0.

## Biological reaction model

In the present work, the ASM1 was modified through introducing the concept of endogenous decay-regeneration (see Figure 1). This modified ASM1 is given in matrix form in Table 1. In addition to the external substrate, the substrate released from endogenous decay was utilized for heterotrophic growth with a special biomass yield. The endogenous yield factor  $(Y_E)$  was not the same as the yield factor from the exogenous substrate  $(Y_H)$  as in the original ASM1.<sup>8</sup> This modified model had eight model components to describe the biological reactions, i.e., heterotrophic microorganisms  $(X_H)$ , autotrophic microorganisms  $(X_A)$ , exogenous substrate  $(S_S)$ , residual inert biomass  $(X_P)$ , ammonia  $(S_{NH})$ , nitrate  $(S_{NO})$ , endogenous substrate  $(S_E)$ , and dissolved oxygen  $(S_O)$ . The units for all organic species were oxygen demand or oxygen (for DO), which is directly proportional to electron equivalents (i.e., 8 g O<sub>2</sub> per e<sup>-</sup> equivalent).

An increase in volatile suspended solids (VSS) was the sum of biomass and residual inert biomass accumulations:

$$\frac{d\text{VSS}}{dt} = \frac{dX_{\text{H}}}{dt} + \frac{dX_{\text{P}}}{dt} + \frac{dX_{\text{A}}}{dt}$$
 (6)

$$\frac{dX_{\rm H}}{dt} = (\mu_1 + \mu_2)X_{\rm H} - b_{\rm H}X_{\rm H} \tag{7}$$

$$\frac{dX_{\rm P}}{dt} = f_{\rm P}(b_{\rm H}X_{\rm H} + b_{\rm A}X_{\rm A}) \tag{8}$$

$$\frac{dX_{A}}{dt} = \mu_3 X_{A} - b_{A} X_{A} \tag{9}$$

$$\mu_1 = \mu_{\rm H} \frac{S_{\rm S}}{K_{\rm S} + S_{\rm S}} \frac{S_{\rm O}}{K_{\rm O,H} + S_{\rm O}} \tag{10}$$

$$\mu_2 = \mu_{\rm H} \frac{S_{\rm E}}{K_{\rm S} + S_{\rm F}} \frac{S_{\rm O}}{K_{\rm OH} + S_{\rm O}} \tag{11}$$

$$\mu_3 = \mu_{\rm A} \frac{S_{\rm NH}}{K_{\rm NH} + S_{\rm NH}} \frac{S_{\rm O}}{K_{\rm O,A} + S_{\rm O}} \tag{12}$$

where  $\frac{d\mathbf{VSS}}{dt}$  is the rate of VSS accumulation,  $\frac{d\mathbf{X_H}}{dt}$  is the rate of

heterotrophic biomass accumulation,  $\frac{dX_A}{dt}$  is the rate of autotrophic biomass accumulation,  $\frac{dX_P}{dt}$  is the rate of residual inert biomass accumulation,  $\mu_1$  is the biomass growth rate on  $S_S$ ,  $\mu_2$  is the biomass growth rate on  $S_E$ ,  $\mu_H$  is heterotrophic the maximum biomass growth rate,  $b_H$  is heterotrophic endogenous decay rate,  $\mu_A$  is the maximum autotrophic biomass growth rate,  $b_A$  is autotrophic endogenous decay rate,  $K_{O,H}$  is the dissolve oxygen affinity constant of  $K_H$ ,  $K_{O,A}$  is the dissolve oxygen affinity constant of  $K_A$ ,  $K_P$  is fraction of residual inert biomass COD formed from endogenous decay.

The substrate (SCOD) removal rate was the sum of the exogenous ( $S_S$ ) and endogenous substrate ( $S_E$ ) removal rates as follows:

$$\begin{split} \frac{d\text{SCOD}}{dt} &= \frac{dS_{\text{S}}}{dt} + \frac{dS_{\text{E}}}{dt} \\ &= -\frac{1}{Y_{\text{H}}} \mu_{1} X_{\text{H}} - \frac{1}{Y_{\text{E}}} \mu_{2} X_{\text{H}} + (1 - f_{\text{P}}) b_{\text{H}} X_{\text{H}} \quad (13) \end{split}$$

where  $\frac{dSCOD}{dt}$  is the rate of substrate removal,  $\frac{dS_S}{dt}$  is the rate of exogenous substrate removal,  $\frac{dS_F}{dt}$  is the rate of endogenous substrate removal.

The ammonia removal rate and nitrate production rate were described with the following equations:

$$\frac{dS_{\rm NH}}{dt} = -i_{\rm XB}\mu_1 X_{\rm H} - i_{\rm XB}\mu_2 X_{\rm H} - \left(i_{\rm XB} + \frac{1}{Y_{\rm A}}\right)\mu_3 X_{\rm A} \quad (14)$$

$$\frac{dS_{\text{NO}}}{dt} = \frac{1}{Y_{\text{A}}} \mu_3 X_{\text{A}} \tag{15}$$

where  $\frac{dS_{\rm NH}}{dt}$  is the rate of ammonia removal,  $\frac{dS_{\rm NO}}{dt}$  is the rate of nitrate production,  $i_{\rm XB}$  is nitrogen content of biomass, and  $Y_{\rm A}$  is the yield factor of autotrophs.

The oxygen uptake rate (OUR or  $\frac{dS_0}{dt}$ ) was the sum of the three respiration processes: utilization of  $S_S$ ,  $S_E$ , and  $S_{NH}$ .

OUR = 
$$\frac{dS_{O}}{dt}$$
  
=  $-\frac{1 - Y_{H}}{Y_{H}} \mu_{1} X_{H} - \frac{1 - Y_{E}}{Y_{F}} \mu_{2} X_{H} - \frac{4.57 - Y_{A}}{Y_{A}} \mu_{3} X_{A}$  (16)

#### **Materials and Methods**

## Sludge, wastewater, and reactor

Activated sludge was cultivated in a laboratory-scale SBR, which had a working volume of 2 L. The reactor was

operated at  $20^{\circ}\text{C}$  with 3 min of influent filling, 217 min of aeration, 15 min of settling, and 5 min of effluent withdrawal from the reactor. Air was applied to the SBR at a flow rate of  $0.4 \text{ m}^3 \text{ h}^{-1}$ , equivalent to a superficial up-flow velocity of  $2.8 \text{ cm s}^{-1}$ . The DO concentration in aerobic phase during SBR operation was above 4 mg L<sup>-1</sup>. The desired SRT was set by controlling the amount of sludge wasted from the SBR in each cycle. In this work, the SRT for the SBR operation was designed at 20 days, and the initial MLVSS concentration of the SBR was  $\sim 2000 \text{ mg L}^{-1}$ .

The SBR was fed with a soybean-processing wastewater, collected from a local soybean-processing plant, at a soluble chemical oxygen demand (COD) of around 800 mg L $^{-1}$ . The raw wastewater was diluted 10 times using tap water to obtain the influent for the SBR. It contained a sufficient amount of nitrogen with an ammonia concentration of 150 mg N L $^{-1}$ . Phosphorus was added as Na<sub>2</sub>HPO<sub>4</sub> to ensure the ratio of COD to P to be 100:1 by mass in the influent. In addition, a microelement solution was supplied to produce influent concentrations of (in  $\mu$ g/L): H<sub>3</sub>BO<sub>3</sub>, 50; ZnCl<sub>2</sub>, 50; CuCl<sub>2</sub>, 30; MnSO<sub>4</sub>·H<sub>2</sub>O, 50; (NH<sub>4</sub>)<sub>6</sub>Mo<sub>7</sub>O<sub>24</sub>·4H<sub>2</sub>O, 50; AlCl<sub>3</sub>, 50; CoCl<sub>2</sub>·6H<sub>2</sub>O, 50; and NiCl<sub>2</sub>, 50. The influent pH value was adjusted to 7.0 through the dose of NaHCO<sub>3</sub> or HCl.

#### **Experiments**

A primary source of quantitative data used for a long-term reactor performance simulation was the routine measurements everyday for the SBR. The samples were analyzed for the COD, NH<sub>4</sub><sup>+</sup>-N, and mixed liquid volatile suspended solid (MLVSS) concentrations.

In addition to the long-term SBR operation, batch experiments were also conducted to evaluate the established approach. Sludge was sampled from the SBR when no substrate was present in the medium. The 2-L batch reactors were inoculated with the activated sludge and continuously aerated until the DO concentration exceeded 4 mg L $^{-1}$  for complete nitrification. Then, the external substrate, i.e., the soybean-processing wastewater, at a pre-determined concentration after dilution was dosed and the oxygen uptake rate (OUR) was monitored until the substrate became depleted and the endogenous activity was resumed. The initial external substrate in the batch reactor was  $\sim\!220$  mg L $^{-1}$ . Samples were taken every 5–10 min for analysis. The tests were conducted at  $20^{\circ}\text{C}$  and pH 7.0.

## Analysis

The DO concentration in the SBR was measured with a DO electrode (MO128, Mettler-Toledo Gmbh, Switzerland). The OUR was measured according to Keesman and Spanjers. The OUR was measured using a respirometer. The respirometer consisted of a 300-mL glass vessel with a pot at the top for insertion of a DO probe (MO128, Mettler-Toledo Gmbh, Switzerland). A magnetic stirring bar and a stirring plate provided internal mixing of the liquor and sludge. For each respirometric test, 250 mL of sludge was collected from the reactors and added to the respirometer. The slope of the DO concentration decline was measured in 15 min. The OUR was calculated from the slope though linear regression. The OUR values were determined twice for each test and the averages are reported in this article. The

carbohydrates were determined as glucose equivalent using enthrone-sulfuric acid method, <sup>13</sup> whereas the proteins were measured as bovine albumin equivalent using the Lowry method. <sup>14</sup> Measurement of COD, NH<sub>4</sub><sup>+</sup>-N, mixed liquor suspended solids (MLSS), and MLVSS was performed following the Standard Methods. <sup>15</sup>

#### **Results and Discussion**

## Characterization of soybean-processing wastewater

The raw wastewater contained proteins of  $5.50 \mathrm{~g~L^{-1}}$  and carbohydrates of  $7.40 \mathrm{~g~L^{-1}}$ . Efforts were initially made to estimate the contribution of each organic component to the total COD (TCOD) in this wastewater. For complete oxidation, 32 moles of proteins requires 33 moles of oxygen:

$$32CH_{1.5}O_{0.31}N_{0.25} + 33O_2 \rightarrow 12H_2O + 32CO_2 + 8NH_3$$
(17)

Further calculations give the amount of oxygen required per unit amount of proteins degraded:

$$\begin{aligned} \frac{33 \text{ mole O}_2}{32 \text{ mole protein}} \times & \frac{1 \text{ mole protein}}{22 \text{ g protein}} \times & \frac{32 \text{ g O}_2}{1 \text{ mole O}_2} \\ &= 1.50 \text{ g O}_2 \text{ g}^1 \text{ proteins} \\ &= 1.50 \text{ g COD } g^{-1} \text{ proteins} \end{aligned}$$

For 5.50 g L<sup>-1</sup> proteins in the soybean wastewater,

$$\frac{1.5 \text{ g COD}}{1 \text{ g protein}} \times \frac{5.5 \text{ g protein}}{1 \text{ L wastewater}} = 8.25 \text{ gCOD L}^{-1}$$

Therefore, the COD due to the presence of proteins in the wastewater was  $8.25~g~COD~L^{-1}$ , which was 49.8% of TCOD.

One mole of carbohydrates requires one mole of oxygen for complete oxidation.

$$CH_2O + O_2 \rightarrow H_2O + CO_2 \tag{18}$$

Similarly, an estimate for the oxygen requirement per unit amount of carbohydrates is 1.07 g COD g<sup>-1</sup> carbohydrates. The following calculations give the amount of oxygen required per unit amount of carbohydrates degraded:

$$\begin{split} \frac{1 \text{ mole O}_2}{1 \text{ mole carbohydrate}} \times \frac{1 \text{ mole carbohydrate}}{30 \text{ g carbohydrate}} \times \frac{32 \text{ g O}_2}{1 \text{ mole O}_2} \\ &= 1.07 \text{ g O}_2 \text{ g}^1 \text{ carbohydrates} \\ &= 1.07 \text{ g COD } g^{-1} \text{ carbohydrates} \end{split}$$

For 7.40 g  $L^{-1}$  carbohydrates in the wastewater,

$$\frac{1.07 \text{ g COD}}{1 \text{ g carbohydrate}} \times \frac{7.4 \text{ g carbohydrate}}{1 \text{ L wastewater}} = 7.92 \text{ gCOD } L^{-1}$$

In a similar way, the COD exerted by carbohydrates in this wastewater was calculated to be  $7.92 \text{ g COD L}^{-1}$ , which was 47.8% of TCOD.

Based on analyses of organics in the soybean wastewater, it was concluded that the proteins and carbohydrates were

Table 2. Stoichiometrics for the Mineralization of Proteins and Carbohydrates in the Soybean Wastewater

	$CH_{1.5}O_{0.31}N_{0.25}$	CH <sub>2</sub> O	$O_2$	$\mathrm{H}^+$	$H_2O$	$CO_2$	$\mathrm{NH_4^+}$	$HCO_3^-$	$C_5H_7O_2N$
Protein mineralization Carbohydrate mineralization	-1.0	-1.0	-0.3701 $-0.278$	-0.1193	0.111 0.8556	0.3372 0.4224	0.1176 $-0.1444$	-0.1444	0.132 0.1444

the major organic components contributing the TCOD in this wastewater. A total of 97.6% of the TCOD in the wastewater was accounted for by proteins and carbohydrates.

## Overall stoichiometry

For the full biodegradation stoichiometry (R), the reactions coupling the electron donor and acceptor were combined with the reactions for cell synthesis using the  $f_e$  and  $f_s$ values.11

For proteins, the released energy ( $\Delta G_{\rm e-R}$ ) could be calculated as follows:

$$\Delta G_{\rm R}^{0'} = \Delta G_{\rm a}^{0'} - \Delta G_{\rm d}^{0'} = -7.7 - 18.675$$
  
= -26.375 kcal e - eq<sup>-1</sup> (19)

The energy consumed in cell synthesis ( $\Delta G_{e-S}$ ) was calculated as:

$$\Delta G_{\text{syn}}^{0'} = \frac{\Delta G_{\text{p}}^{0'}}{K^m} + \Delta G_{\text{cells}}^{0'} + \frac{\Delta G_{\text{n}}^{0'}}{K}$$

$$= \frac{8.545 - 7.7}{0.6} + 7.5 + 0 = 8.908 \text{ kcal e} - \text{eq}^{-1} \quad (20)$$

$$A = -\frac{\Delta G_{\text{syn}}^{0'}}{K\Delta G_{\text{R}}^{0'}} = \frac{8.908}{0.6 \times 26.375} = 0.563 \text{ e} - \text{eq e} - \text{eq}^{-1}$$
(21)

According to the bioenergetic methodology,  $f_s = 0.640$  eeq/e-eq, and  $f_{\rm e}=0.360$  e-eq/e-eq. Normalizing the result to 1 mol of the substrate, the full stoichiometry for the mineralization of proteins was as below:

$$\begin{split} CH_{1.5}O_{0.31}N_{0.25} + 0.1193H^+ + 0.3701O_2 &\rightarrow 0.132C_5H_7O_2N \\ &+ 0.111H_2O + 0.3372CO_2 + 0.1176NH_4^+ \quad (22) \end{split}$$

Similarly, the full stoichiometry for the mineralization of carbohydrates was as follows:

$$CH_2O + 0.1444HCO_3^- + 0.1444NH_4^+ + 0.278O_2$$
  
 $\rightarrow 0.1444C_5H_7O_2N + 0.8556H_2O + 0.4224CO_2$  (23)

Table 2 summarizes the mole-based stoichiometric coefficients for the two reactions, where a negative value indicates a reactant and a positive sense indicates a product.

## Exogenous heterotrophic biomass yield

The theoretical biomass yield is a stoichiometric constant which relates only to the growth mechanisms and is not affected by the growth reaction rate.<sup>16</sup> It could be evaluated through electron equivalent without the interference of endogenous metabolism.<sup>11</sup> The evaluation process involves the stoichiometry of the electron donor and acceptor, the free energy released per unit electron transferred, different biochemical pathways responsible for the electron transfer, energy utilization, and the corresponding energy transfer efficiencies. Therefore, the microbial yield could be predicted with a thermodynamic method. 16-19 The  $f_s$  value determined using the electron and energy balances could be used to predict the theoretical biomass yield (Y) in the following pathway<sup>7</sup>:

$$\frac{\text{Ymol cells}}{\text{mol substrate}} = f_{s} \times \frac{\text{mol cells}}{\text{EQC e}^{-}\text{eq}} \times \frac{\text{EQS e}^{-}\text{eq}}{\text{mol substrate}}$$
(24)

where EQS is the electron equivalents available per mole of substrate and EQC is the electron equivalents required to synthesize cells.

To calculate the values of EQC and EQS, the stoichiometry was predicted based on the structured overall reaction, assuming that ammonia was the nitrogen source for cell syn-

$$CH_mO_lN_k + aNH_3 + bO_2 \rightarrow y_cCH_pO_nN_g + cH_2O + dCO_2$$
(25)

where  $CH_mO_lN_k$  is the carbon-source electron-donor formula,  $CH_pO_nN_g$  is the cell formula,  $y_c$  is the fraction of the substrate carbon converted to biomass (the carbon yield of cells), and a, b, c, d are the stoichiometric coefficients for the other compounds in the reaction.

The degree of reductance of carbon in the substrate  $(\gamma_s)$ and the degree of reductance of carbon in cells  $(\gamma_c)$  could be computed from the following equations. Then, EQS and EQC were equivalent to  $\gamma_s$  and  $\gamma_c$  when the cells and carbon source are written in terms of 1 C-mol.

$$\gamma_{s} = 4 + m - 2l - 3k \tag{26}$$

$$\gamma_{c} = 4 + p - 2n - 3g \tag{27}$$

In the present work, EQC was 20 when C<sub>5</sub>H<sub>7</sub>O<sub>2</sub>N is selected as a cell formulation. The EQS was 4.125 for proteins and 4 for carbohydrates. With Eq. 24, the theoretical biomass yields for growth on proteins  $(Y_1)$  and carbohydrates  $(Y_2)$  were 0.132 mol cells mol<sup>-1</sup> substrate (i.e., 0.667 mg  $COD_X$  mg<sup>-1</sup>  $COD_S$ ) and 0.1444 mol cells mol<sup>-1</sup> substrate (i.e., 0.544 mg  $COD_X$  mg<sup>-1</sup>  $COD_S$ ), respectively. Thus, from the characterization results of the soybean wastewater for the fractionation of proteins and carbohydrates, the biomass yields for growth on this wastewater  $(Y_H)$  was estimated to be 0.607 mg COD<sub>X</sub> mg<sup>-1</sup> COD<sub>S</sub>.

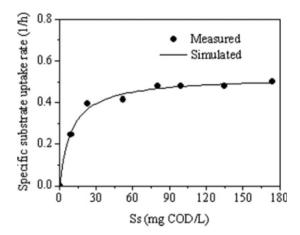


Figure 2. Plot of substrate uptake rate vs. substrate concentration to determine the specific maximum growth rate on substrate ( $\mu_H$ ) and the substrate half-saturation constant.

## Biological reaction parameters

The specific maximum growth rate on substrate ( $\mu_H$ ) and the substrate half-saturation coefficient  $(K_S)$  were experimentally determined following Henze et al. 20 The increase in the substrate uptake rate with the increasing  $S_S$  concentration is depicted in Figure 2. The experiments were carried out with dose of substrate at various levels to the endogenous sludge, resulting in various substrate uptake rates, i.e., exogenous respiration rates, up to a maximum rate. The parameters  $\mu_{\rm H}$ and  $K_S$  could be estimated by fitting the curve in Figure 2. The changing pattern was well described with a high correlation coefficient of 0.9914. The  $\mu_{\rm H}$  and  $K_{\rm S}$  were determined to be  $0.35 \text{ h}^{-1}$  and  $9.8 \text{ g COD m}^{-3}$ , respectively.  $Y_{\rm E}$  is the net biomass yield from growth on the endoge-

nously released substrate. Biomass is the substrate and it would be partially oxidized for energy, while the remaining portion is re-synthesized. The yield in the endogenous substrate resynthesis depends on the state of reduction of nitrogen in the system. If ammonia is in abundance, the approach outlined by Droste could be adopted.8 The determination of  $Y_{\rm E}$  followed the procedure for determining  $Y_{\rm H}$  with the pyruvate as electron donor for the half reactions (see Eq. 28).

The utilization of 1 g COD of the endogenously released substrate in the biomass decay process would yield 0.648 g COD of re-synthesized biomass, i.e.,  $Y_E = 0.648$  mg COD<sub>X</sub> mg<sup>-1</sup> COD<sub>S</sub>. The ultimate accumulation of endogenous residual inert biomass is usually ~20% of the newly produced biomass.<sup>21</sup> Typical values for  $f_P$  and  $b_H$  are 0.08 and 0.62 d<sup>-1</sup>, respectively.<sup>20</sup> Table 3 shows all the parameters used in our modified model, their symbols, and their units.

$$\frac{1}{10} CH_3 COCOO^- + \frac{2}{5} H_2 O \rightarrow \frac{1}{5} CO_2 + \frac{1}{10} HCO_3^- + H^+ + e \eqno(28)$$

# Modeling the treatment of soybean wastewater

Figure 3 compares the simulated and measured results for the OUR, MLVSS, NH<sub>4</sub><sup>+</sup>-N and soluble COD in the batch tests. The initial concentration of active biomass was estimated using the baseline endogenous OUR prior to the substrate addition. The maximum difference between the measured and calculated values was 20%, and 65% of the results had a difference of less than 5%. Furthermore, the model shows no systematic deviations. Model results matched the experimental data for the four parameters. After the dose of substrate, the OUR increased because of the rapid oxidation of the external substrate, while part of the electron flow went directly to respiration (Figure 3A). The sharp bending point in the OUR curve corresponded with the complete removal of the exogenous substrate dosed (Figure 3B). The soluble COD concentration decreased rapidly and continuously in the initial half hour (Figure 3B), as the external substrate was consumed. The NH<sub>4</sub><sup>+</sup>-N consumption exhibited a lag time, attributed to a relatively low oxidation rate of the autotrophs (Figure 3C). The MLVSS initially increased from 720 to 850 mg/L in the initial half hour, but later decreased gradually to 830 mg/L at 4 h (Figure 3B).

The model was also evaluated by simulating the long-term SBR operation results of 200 days. Figure 4 compares the simulation and experimental results for the MLVSS and effluent COD and NH<sub>4</sub><sup>+</sup>-N concentrations. Similarly, the average difference between the measured and calculated values was 12% and the model shows no systematic deviations. Thus, the model was able to simulate all these variations well. Such a good agreement between the measured and

Table 3. Parameters Used in the Modified ASM1

Parameter	Definition	Values	Unit	Sources
$Y_{\mathrm{H}}$	yield coefficient for $X_{\rm H}$ growth on $S_{\rm S}$	0.607	g COD g <sup>-1</sup> COD	This work
$Y_{\rm E}$	yield coefficient for $X_{\rm H}$ growth on $S_{\rm E}$	0.648	$g COD g^{-1} COD$	This work
$Y_{\rm A}$	yield coefficient for $X_A$ growth	0.24	$g COD g^{-1} COD$	Henze et al. <sup>20</sup>
$f_{\rm P}$	fraction of $X_{\rm P}$ in respiration	0.08	$g COD g^{-1} COD$	Henze et al. <sup>20</sup>
$i_{\mathrm{XB}}$	nitrogen content of biomass	0.086	g N g <sup>-1</sup> COD	Henze et al. <sup>20</sup>
$\mu_{ m H}$	maximum growth rate of $X_{\rm H}$ on $S_{\rm S}$	8.4	$d^{-1}$	This work
$K_{\mathrm{S}}$	biomass affinity constant for $S_S$	9.8	$g COD m^{-3}$	This work
$K_{\mathrm{O,H}}$	dissolve oxygen affinity constant of $X_{\rm H}$	0.20	$g O_2 m^{-3}$	Henze et al. <sup>20</sup>
$b_{ m H}$	respiration rate coefficient of $X_{\rm H}$	0.62	$d^{-1}$	Henze et al. <sup>20</sup>
$\mu_{A}$	maximum growth rate of $X_A$	0.8	$d^{-1}$	Henze et al. <sup>20</sup>
$b_{\rm A}$	respiration rate coefficient of $X_A$	0.15	$d^{-1}$	Henze et al. <sup>20</sup>
$K_{\mathrm{O,A}}$	oxygen affinity constant for $X_A$	0.4	$g O_2 m^{-3}$	Henze et al. <sup>20</sup>
$K_{ m NH}$	biomass $S_{NH}$ affinity constant	1.0	$g N m^{-3}$	Henze et al. <sup>20</sup>
$f_{ m e}$	the electron-donor substrate are sent to the electron-acceptor	$0.36*\ 0.278^{\dagger}$	e-eq e <sup>-1</sup> -eq	This work
$f_{\rm s}$	the electron-donor substrate are sent to the biomass synthesis	$0.64*\ 0.722^{\dagger}$	e-eq e <sup>-1</sup> -eq	This work

<sup>\*</sup>For proteins.

<sup>&</sup>lt;sup>†</sup>For carbohydrates.

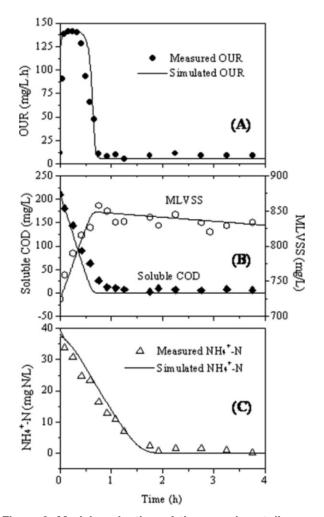


Figure 3. Model evaluation of the experimentally measured OUR, NH<sub>4</sub><sup>+</sup>-N, soluble COD data and MLVSS profiles in batch tests (Line for model prediction, and symbols for experimental measurement).

predicted results suggests the validity of the model established in this work. The modeling results show that the effluent COD and NH<sub>4</sub><sup>+</sup>-N take about 30 days to approach their plateaus. On the other hand, the MLVSS needed a long run (at least 100 days) to reach its plateau. At steady-state, the active microorganisms were about 30% of MLVSS. The remaining biomass was comprised of inert biomass.

#### Analysis of the degradation process

Biological degradation of wastewater by activated sludge is always achieved through multiple-substrate utilization for complete mineralization. These multiple substrates utilization might proceed at different rates, and thus prediction of the microbial growth and the elimination of degradable substrates in such systems require an accurate method for modeling. In our approach, determination of the rate of substrate utilization was coupled to the stoichiometry, which was linked to our modified ASM1 model. Therefore, the kinetic biodegradation routine could predict rates for all species in the degradation reaction and returned changes to the total concentration of each affected species.

The substrate utilization rate for each electron-donor substrate in the soybean wastewater was coupled with the stoichiometry shown in Table 2 to predict the concentration changing rate of all reactants and products. Figure 5 shows the model predictions for the profiles of proteins  $(CH_{1.5}O_{0.31}N_{0.25})$ , carbohydrates  $(CH_2O)$ , cells  $(C_5H_7O_2N)$ , H<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, HCO<sub>3</sub><sup>-</sup> and released CO<sub>2</sub> from the heterotrophic growth in the degradation process of soybean wastewater. It should be noted that the species profiles here were the relative dynamic variations attributed to the degradation process, rather than their absolute concentrations. The initial values for H<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, HCO<sub>3</sub><sup>-</sup>, and CO<sub>2</sub> were set at zero, and the negative values indicate their consumption. Because of the rapid consumption of substrate by the active cells for new synthesis, the concentration of CH<sub>1.5</sub>O<sub>0.31</sub>N<sub>0.25</sub> decreased sharply and down to zero within half hour (Figure 5A). The concentration of CH<sub>2</sub>O exhibited a decreasing trend (Figure 5A) attributed to the variation of the concentration of cells (C<sub>5</sub>H<sub>7</sub>O<sub>2</sub>N). In addition, the carbohydrates in the soybean wastewater of this work were a polymer, rather than a simple sugar. Therefore, their consumption rate was assumed to be slower than that of proteins. In initial half hour, the active cells utilized the external substrate for growth. The content of active cells increased because of the rapid consumption of the external substrate (Figure 5B). The increasing rate of cells in this phase was greater than the subsequent phase, in which CH<sub>2</sub>O was the only external substrate (Figure 5A).

Both reactions 22 and 23 released  $CO_2$  when  $CH_{1.5}O_{0.31}N_{0.25}$  and  $CH_2O$  were consumed by cells (Figure 5B). Formation of  $NH_4^+$  occurs only when  $CH_{1.5}O_{0.31}N_{0.25}$  was being utilized. The  $NH_4^+$  concentration increases initially in the rapid microbial  $CH_{1.5}O_{0.31}N_{0.25}$  consumption period.

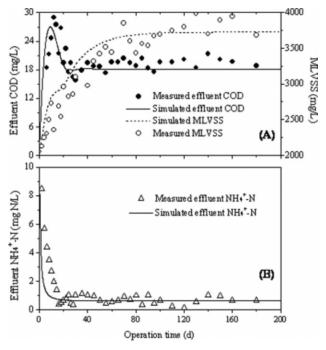


Figure 4. Model evaluation of the long-term operational performance of the SBR in terms of effluent COD and NH<sub>4</sub><sup>+</sup>-N concentrations as well as MLVSS profiles.

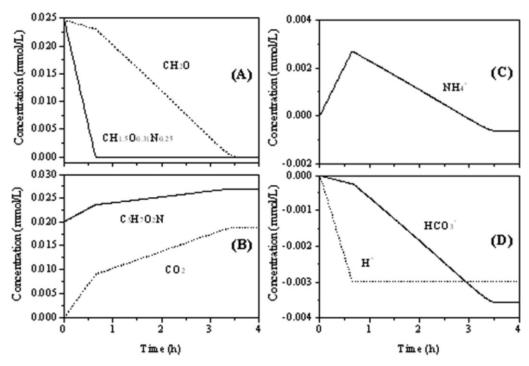


Figure 5. Model simulation for the profiles of proteins (CH<sub>1.5</sub>O<sub>0.31</sub>N<sub>0.25</sub>), carbohydrates (CH<sub>2</sub>O), cells (C<sub>5</sub>H<sub>7</sub>O<sub>2</sub>N), released CO<sub>2</sub>, NH<sub>4</sub><sup>+</sup>, HCO<sub>3</sub><sup>-</sup>, and H<sup>+</sup> for the heterotrophic growth in soybean wastewater treatment.

After the depletion of  $CH_{1.5}O_{0.31}N_{0.25}$ ,  $NH_4^+$  is utilized by cells for growth on CH<sub>2</sub>O, resulting in a decline of NH<sub>4</sub><sup>+</sup> concentration (Figure 5C). A linear decrease in H<sup>+</sup> concentration occurs in the CH<sub>1.5</sub>O<sub>0.31</sub>N<sub>0.25</sub>-consumption phase because of the microbial assimilation of H<sup>+</sup> (Reaction 22). In the CH<sub>2</sub>O-consumption phase, the H<sup>+</sup> concentration did not change further (Figure 5D). The HCO<sub>3</sub> concentration decreased continually when CH2O was consumed at an increasing rate. Thus, the variation of HCO<sub>3</sub> concentration was similar to that of CH<sub>2</sub>O concentration (Figure 5D). These results clearly illuminate the overall bioreaction processes in the degradation of soybean wastewater.

In summary, the approach developed in this work through integrating the thermodynamic methodology and a modified ASM1 was able to precisely describe the treatment of soybean wastewater by activated sludge in an SBR, in terms of substrate utilization, biomass growth, and elector acceptor consumption. The effects of biological reactions on all important chemical and biological species in the system, i.e., the dynamic profiles of cells,  $\hat{H}^+$ ,  $NH_4^+$ ,  $HCO_3^-$ , and release of CO<sub>2</sub>, could be simulated well with this new approach. Hopefully, information provided in this article might be useful for more accurate modeling of wastewater treatment processes.

# **Conclusions**

A bioenergetic model for wastewater treatment was developed using the thermodynamic methodology combined with a modified ASM1. A systematic method for developing reaction stoichiometry for waste biodegradation reactions was used, and the thermodynamic methodology was applied to evaluate the coefficients for the true biomass yield from substrate. The obtained parameters were related to the ASM1 model, which coupled biological reactions with bioenergetics. The established model was applied to the treatment of a soybean-processing wastewater in an SBR. The model was able to accurately describe the consumption of substrate, the accumulation of MLVSS, and the OUR profiles in the wastewater treatment process and the long-term operation results of the SBR. Model simulation results clearly show the variations of  $C_{16}H_{24}O_5N_4$ ,  $CH_2O$ , cells  $(C_5H_7O_2N)$ ,  $H^+$ , NH<sub>4</sub><sup>+</sup>, HCO<sub>3</sub><sup>-</sup> concentration, and the release of CO<sub>2</sub>.

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#### **Notation**

A =the ratio of  $f_e/f_s$ 

ASM = activated sludge model

ASM1 = activated sludge model no. 1

 $b_{\rm A}=$  autotrophic endogenous decay rate, h<sup>-1</sup>

 $b_{\rm H}=$  heterotrophic endogenous decay rate, h<sup>-1</sup>

 $COD = chemical oxygen demand, mg O_2 L^{-1}$ 

 $DO = dissolved oxygen, mg O_2 L^2$ 

EQC = electron equivalents in one mole of biomass (e-eq mol<sup>-1</sup>)

EQS = electron equivalents in one mole of substrate (e-eq mol<sup>-1</sup>)

 $f_{\rm e}$  = the fraction of electron-donor electron equivalents sent to the acceptor to drive the energy-generating redox reaction

 $f_{\rm P}$  = the fraction of residual inert biomass formed endogenous decay

the fraction of electron-donor electron equivalents invested in biomass via the synthesis reaction

= standard free energy for the electron donor reduction halfreduction (kcal e<sup>-1</sup>-eq)

standard free energy for the electron acceptor reduction half reaction (kcal e<sup>-1</sup>-eq)

- $\Delta G_{\rm R}^{0'}={
  m standard}$  free energy of the energy generating redox couple (kcal e $^{-1}$ -eq)
- $\Delta G_{\rm d}^{0'}={
  m standard}$  free energy for the electron donor reduction half reaction (kcal e<sup>-1</sup>-eq)
- $\Delta G_{\text{syn}}^{0'} = \text{standard free energy of the cell synthesis reaction (kcal e}^{-1}$
- $\Delta G_{\rm P}^{0'}={
  m standard}$  free energy to convert the carbon source to the biological intermediate, pyruvate
- $\Delta G_{\rm cells}^{0'}={
  m standard}$  free energy to synthesize macromolecules (kcal  ${
  m e}^{-1}{
  m -eq}$ )
- $\Delta G_{\rm n}^{0'}$  = the energy consumed to transform the nitrogen source to NH<sub>4</sub><sup>+</sup> (kcal e<sup>-1</sup>-eq)
- $i_{XB}$  = nitrogen content of biomass, g N g<sup>-1</sup> COD
- K = the efficiency of energy capture in the energy-generation reaction
- $K_{\rm S} = {\rm substrate \ affinity \ constant}, \ {\rm g \ COD \ m}^{-3}$
- $K_{\rm NH} = {\rm biomass} \ S_{\rm NH} \ {\rm affinity} \ {\rm constant}, \ {\rm g} \ {\rm N} \ {\rm m}^{-3}$
- $K_{\text{O,A}} = \text{oxygen affinity constant for } X_{\text{A}}, \text{ g O}_2 \text{ m}^{-3}$
- $K_{\text{O,H}}$  = dissolve oxygen affinity constant of  $X_{\text{H}}$ , g O<sub>2</sub> m<sup>-3</sup>
- $MLSS = mixed liquor suspended solids, mg L^{-1}$
- MLVSS = mixed liquor volatile suspended solids, mg L<sup>-1</sup>
  - OUR = oxygen uptake rate, mg  $\hat{O}_2 L^{-1} h^{-1}$ 
    - R =full biodegradation stoichiometry
  - SBR = sequencing batch reactor
- SCOD = soluble chemical oxygen demand, mg  $O_2 L^{-1}$ 
  - SRT = solids retention time, d
  - $S_{\rm E} = {\rm endogenous\ substrate,\ mg\ COD\ L^{-1}}$
  - $S_{\rm O} = {\rm dissolved} \ {\rm oxygen}, \ {\rm mg} \ {\rm O}_2 \ {\rm L}^{-1}$
  - $S_{\rm NH} = {\rm ammonia, mg \ N \ L^{-}}$
  - $S_{NO}$  = nitrate, mg N L<sup>-1</sup>
  - $S_{\rm S} = {\rm exogenous} \ {\rm substrate}, \ {\rm mg} \ {\rm COD} \ {\rm L}^{-1}$
- TCOD = total chemical oxygen demand, mg O<sub>2</sub> L
  - $\mu_1$  = the biomass growth rate on  $S_S$ , h
  - $\mu_2$  = the biomass growth rate on  $S_E$ , h
  - $\mu_3$  = the autotrophic biomass growth rate,  $h^{-1}$
  - $\mu_{\rm A}=$  the autotrophic maximum growth rate,  ${\rm h}^{-1}$
  - $\mu_{\rm H}=$  the heterotrophic maximum growth rate,  ${\rm h}^{-1}$
  - VSS = volatile suspended solids, mg L
  - $X_{\rm A} = {\rm concentration \ of \ autotrophic \ microorganisms, \ mg \ COD \ L^{-1}}$
  - $X_{\rm H}={
    m concentration}$  of heterotrophic microorganisms, mg COD  ${
    m L}^{-1}$
  - $X_{\rm P} = {\rm residual~inert~biomass,~mg~COD~L}^{-1}$
  - $Y_1$  = theoretical biomass yields for growth on proteins, g COD  $g^{-1}$  COD
  - $Y_2$  = theoretical biomass yields for growth on carbohydrates, g COD g $^{-1}$  COD
  - $Y_{\rm A}$  = yield coefficient for autotrophic growth, g COD g<sup>-1</sup> COD
  - $Y_{\rm E}=$  yield coefficient for growth on endogenous substrate, g COD  ${
    m g}^{-1}$  COD
  - $Y_{\rm H}=$  yield coefficient for growth on exogenous substrate, g COD  ${\rm g}^{-1}$  COD

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